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In the United States Patent and Trademark Office

In re Application of:

Assignee: RespondTV, Inc.

Inventors: Nizar Allibhoy et al.

Application No: 09/635,735

Filing Date: August 9, 2000

For: A METHOD AND
SYSTEM FOR
CONTROLLING AND
AUDITING
CONTENT/SERVICE
SYSTEMS



Group Art Unit: 2761

Examiner: Unknown

Certificate of Mailing Under 37 C.F.R. § 1.8

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Application Processing Division, Special Processing and Correspondence Branch, Washington, D.C. 20231.

Date: December 4, 2000

Type or Print Name of Person Mailing: Sandra D. Hunter

Sandra D. Hunter
Signature of Person Mailing

**Submission of Missing Parts of Application
Under 37 C.F.R. 1.53**

Assistant Commissioner for Patents
BOX MISSING PARTS
Application Processing Division
Special Processing and
Correspondence Branch
Washington, D.C. 20231

Dear Sir:

In response to the Notice of Missing Parts of Application mailed on October 24, 2000 enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- X Declaration For Patent Application, executed by the applicant(s) on November 9, 2000, November 15, 2000, November 17, 2000, November 20, 2000 .
Payment of the surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- X Verified Statement Claiming Small Entity Status, executed by the applicant on November 21, 2000.
- Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.

- | | |
|----------|---|
| <u>X</u> | Payment of the small entity basic filing fee in the amount of \$345, and payment of additional/multiple dependent claims fees in the amount of \$56. |
| <u>X</u> | Assignment executed by the applicants on November 17 and November 20, 2000, and Recordation Form PTO 1594 [under separate cover with fee]. |
| | Petition for Extension of Time for one month Fee \$55 |
| <u>X</u> | Copy of Notice of Missing Parts of Application dated October 24, 2000. |
| <u>X</u> | Return Receipt Postcard |
| | <u>Check(s) in the amount of \$[] to cover the surcharge set forth in 37 CFR 1.16(e), [Extension of Time Fee] and the filing fee in 37 CFR 1.16(a), et seq.</u> |
| <u>X</u> | Please charge Deposit Account No. 50-1193, Docket No. 21143-7004, in the amount of \$466 to cover the associated fees with this communication. A duplicate copy of this sheet is enclosed. |
| <u>X</u> | <u>Conditional Petition for Extension of Time:</u> An extension of time is requested to provide for timely filing <u>if</u> an extension of time is still required after all papers filed with this communication have been considered. |
| <u>X</u> | The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193. A duplicate copy of this sheet is enclosed. |

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: December 4, 2000

Respectfully submitted,

McCutchen, Doyle, Brown & Enersen, LLP

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David G. Beck
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UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/635,735	08/09/2000	Nizar Allibhoy	22925-701-3-US

David G Beck Esq
McCutchen Doyle Brown & Enersen
Three Embarcadero Center
San Francisco, CA 94111-2286



FORMALITIES LETTER



OC000000005499958

Date Mailed: 10/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

12/13/2000 SDENBOB1 00000070 501193 09635735

- The balance due by applicant is \$ 1072.

01 FC:201	355.00 CH
02 FC:203	54.00 CH
03 FC:205	65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

file://C:\Apps\PreExam\correspondence\2_B.xml

Docket: 22925-701 453
Action: Response
Date Due: 12/24/00
Critical Date: 4/24/01
Docketed By: RLS
Attorney App.: 10/24/00
Filed By: _____
MCCUTCHEN, DOYLE, BROWN & ERMENSEN LLP

FILE COPY

Commissioner for Patent
Washington, DC 2023
www.uspto.gov

CONFIRMATION NO. 5911



Bib Data Sheet

SERIAL NUMBER 09/635,735	FILING DATE 08/09/2000 RULE	CLASS 705	GROUP ART UNIT 3621	ATTORNEY DOCKET NO. 005004.P017
APPLICANTS Nizar Allibhoy, Northridge, CA; L. Dane Elliott, Hermosa Beach, CA; Joaquin Fernandez-Silva, Manhattan Beach, CA; Stephen M. Johnson, Burbank, CA;				
** CONTINUING DATA ***** This application is a CIP of 60/203,461 05/11/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 10/24/2000				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged		STATE OR COUNTRY CA	SHEETS DRAWING 13	TOTAL CLAIMS 34
		INDEPENDENT CLAIMS 3		
ADDRESS BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP 12400 Wilshire Boulevard, Seventh Floor Los Angeles, CA 90024				
TITLE Method and system for controlling and auditing content/service systems				
FILING FEE RECEIVED 474	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	